

FACTS	What does Windes, Inc. do with your personal information?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	The types of personal information we collect and share depend on the products or services you have with us. This information can include: • Social Security number and income • Transaction history and transaction or loss history • Employment information and purchase history	
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons we choose to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Do we share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations	Yes	No
For our marketing purposes— to offer our products and services to you.	Yes	No
For joint marketing with other financial companies.	No	We don't share
For our affiliates' everyday business purposes — information about your transactions and experiences.	No	We don't share
For our affiliates' everyday business purposes— information about your creditworthiness.	No	We don't share
For our affiliates to market to you.	No	We don't share
For nonaffiliates to market to you -	No	We don't share
Questions?	Call (562).435-1191.	

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Who We Are	
Who is providing this notice?	Windes, Inc.
What We Do	
How does Windes protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Windes collect my personal information?	We collect your personal information, for example, when you: • Seek financial or tax advice • Give us your income history and wage statements • Tell us about your investment portfolio or earnings We also collect your personal information from other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only: • sharing for affiliates' everyday business purposes-information about your creditworthiness • affiliates from using your information to market to you • sharing for non-affiliates to market to you. State laws and individual companies may give you additional rights to limit sharing. Please see the "Other Important Information" below.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies. • <i>Windes has no affiliates.</i>
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. • <i>Windes does not share with nonaffiliates so they can market to you.</i>
Joint Marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. • <i>Windes does not jointly market.</i>
Other Important Information	
California Residents. California residents have additional rights over personal information that we collect for purposes other than providing financial products and services to you. For an explanation of the rights available to California residents, please see our "California Privacy Policy."	
Nevada Residents. We are providing you this additional notice under state law. You may be placed on our internal Do Not Call List by calling us at (844) 494-6337. Nevada law requires we provide the following contact information: You may contact our customer service department if you would like additional information: Windes, Inc., 2050 Main Street, Suite 1300, Irvine, CA 92614 or e-mail at info@windes.com. You also may contact the Bureau of Consumer Protection, Office of the Nevada Attorney General, 555 E. Washington St., Suite 3900, Las Vegas, NV 89101; Phone number: (702) 486-3132; e-mail: AGInfo@ag.nv.gov.	