

Windes Technology & Risk Services brings comprehensive expertise in areas such as PCI DSS, HIPAA, ISO 27001, SOC reporting, virtual CISO services, security awareness training, penetration testing, incident response planning, and advanced advisory analytics. By centralizing technology and risk expertise under one roof, we deliver integrated, actionable solutions designed to help businesses build resilience, meet compliance standards, and extract value from data. Windes offers a comprehensive menu of Technology & Risk services to ensure your business is prepared and protected from cyber threats.



STRENGTHS OF OUR TECHNOLOGY & RISK PRACTICE

Our Technology & Risk Practice utilizes 30+ years of experience auditing clients for cyber, data, and regulatory risk without slowing the business. We bring deep fluency in frameworks like NIST, COBIT, and ISO; hands-on support for SOX/SOC readiness; privacy compliance (GDPR/CCPA); cloud and AI risk; third-party/vendor risk; and business continuity.

What sets us apart is our execution: clear roadmaps, actionable deliverables, and templates that accelerate results while reducing the cost of compliance. We work seamlessly with assurance and tax teams to align controls with financial reporting, provide board-ready reporting that builds stakeholder trust, and transfer knowledge so your team gets stronger with every engagement.

CORE SERVICES

Technology Risk Management

- ◆ IT Governance & Controls
- ◆ Risk & Control Assessments
- ◆ IT Audit Support (SOX, SOC, ISO, NIST, COBIT)

Data Analytics

- ◆ Data Governance Frameworks
- ◆ Data Quality & Integrity Assessments
- ◆ Advanced Analytics & Reporting Solutions

Technology Advisory

- ◆ Cloud Risk & Migration Readiness
- ◆ Emerging Technology Risk (AI, Blockchain, IoT)
- ◆ Business Continuity & Disaster Recovery Planning

Cybersecurity & Privacy

- ◆ Cyber Risk Assessments
- ◆ Data Protection & Privacy Compliance (GDPR, CCPA, HIPAA)
- ◆ Incident Response Planning & Testing

Regulatory Compliance

- ◆ Financial Services IT Compliance
- ◆ Third-party/ Vendor Risk Management
- ◆ Industry-specific Regulatory Reviews

ABOUT WINDES

Windes embraces the legacy of nearly a century of unwavering commitment to professional excellence, personalized client service, and innovative business solutions. Drawing inspiration from our rich history, we forge a path towards a promising future fueled by the visionary leaders and remarkable clients that have shaped our journey. Our approach combines the personalized touch of a boutique firm with the expertise and resources of a global powerhouse, ensuring that our clients receive an outstanding experience tailored to their specific needs.

THE WINDES DIFFERENCE

- ◆ Established in 1926, we have been serving clients for nearly 100 years
- ◆ Local technical and engagement decision-making is made with significant partner involvement
- ◆ Strong client relationships and exceptional service
- ◆ A combination of national firm technical expertise with the responsiveness, rate structure, and dedication to client satisfaction that makes our services an outstanding value
- ◆ Acknowledged by *Inside Public Accounting (IPA)* as one of the top “25 Best of the Best” accounting firms in the country and listed as one of *IPA*’s “All-Star Firms for Long-Range Planning”
- ◆ Motivated and hard-working staff: Consistently ranked as one of the “Best Firms to Work For” in the country by *Accounting Today* and a “Best Places to Work” in Los Angeles and Orange Counties by the *Los Angeles* and *Orange County Business Journals*, respectively
- ◆ Global Reach: As a member of Allinial Global, the second largest accountancy services association in the world, with revenues of nearly \$5 billion and 42,000 professionals in 109 countries worldwide, Windes has access to the cultural understanding, knowledge, and expertise our clients need to succeed on a global level



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